



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,721	05/02/2001	John Charles Brennand	1991-196

CONFIRMATION NO. 3814

## FORMALITIES LETTER



6449

ROTHWELL, FIGG, ERNST & MANBECK, P.C.  
555 13TH STREET, N.W.  
SUITE 701, EAST TOWER  
WASHINGTON, DC 20004

09/04/2001 BABRAHA1 00000004 022135 09845721

Date Mailed: 05/31/2001

01 FC:101 710.00 CH  
02 FC:104 270.00 CH  
03 FC:105 130.00 CH  
04 FC:102 320.00 CH

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$590.
  - \$320 for 4 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1430.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and,

COPY

where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825 (b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1991-196  
BGE:jmp:smt



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
John C. BRENNAND, et al. ) Box Missing Parts  
Serial No. 09/845,721 ) Attention:  
Filed: May 2, 2001 ) Applications Branch  
For: METHODS )

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated May 31, 2001, response copy attached, a Declaration and Power of Attorney with an attached copy of the specification as filed on May 2, 2001 is being herewith submitted. Also attached is a check in payment of the following fees:

\$ 130.00 (surcharge under 37 C.F.R. 1.16(e))  
710.00 (basic filing fee)  
320.00 (additional claims fee)  
270.00 (multiple dependent claim fee)  
110.00 (request for one month extension of time)  
\$1540.00 TOTAL

Please charge the payment of the filing fee, extra claims fees, multiple dependent claim fee, the surcharge and extension

10/18/2001 Y61ZAM 00000003 022135 09845721

01 FC:115

110.00 CH

of time fee to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

Applicants are submitting herewith, as requested in the Notice, a paper copy of the substitute Sequence Listing of the instant application and a diskette containing the substitute Sequence Listing of the Application. It is hereby certified that the paper and computer copies of these sequences are identical and that they contain no new matter.

The following document(s) is/are being submitted concurrently under separate cover:

Assignment with Form PTO-1595 and check \$40.00.

Respectfully submitted,

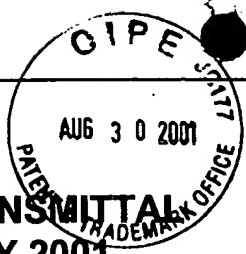
By Barbara G. Ernst

Barbara G. Ernst  
Attorney for Applicants  
Registration No. 30,377  
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Washington, D.C. 20004  
Telephone: (202) 783-6040

1991-196.NOM

AA

Box MISSING PARTS  
BOX SEQ



**FEE TRANSMITTAL**  
**for FY 2001**  
**(Large Entity)**

Complete if Known

Application Number	09/845,721
Filing Date	May 2, 2001
First Named Inventor	John C. Brennand
Examiner Name	
Group Art Unit	
Attorney Docket Number	1991-196

Total Amount of Payment (\$1,540.00)

**METHOD OF PAYMENT (check one)**

- ☒ The Commissioner is hereby authorized to charge the payment for these fees to to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☐ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status
- ☐ Payment Enclosed
  - ☐ Check
  - ☐ Credit Card

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Fee Code	Fee Paid	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	[130.00]
127	50	Surcharge - late provisional filing fee or cover sheet	[ ]
139	130	Non-English specification	[ ]
147	2,520	For filing a request for reexamination	[ ]
112	920	Requesting publication of SIR prior to Examiner action	[ ]
113	1,840*	Requesting publication of SIR after Examiner action	[ ]
115	110	Extension for reply within first month	[110.00]
116	390	Extension for reply within second month	[ ]
117	890	Extension for reply within third month	[ ]
118	1,390	Extension for reply within fourth month	[ ]
128	1,890	Extension for reply within fifth month	[ ]
119	310	Notice of Appeal	[ ]
120	310	Filing a brief in support of an appeal	[ ]
121	270	Request for Oral Hearing	[ ]
138	1,510	Petition to institute a public use proceeding	[ ]
140	110	Petition to revive -unavoidable	[ ]
141	1,240	Petition to revive - unintentional	[ ]
142	1,240	Utility issue fee (or reissue)	[ ]
143	440	Design issue fee	[ ]
144	600	Plant issue fee	[ ]
122	130	Petitions to the Commissioner	[ ]
123	50	Processing fee under 37 CFR 1.17(q)	[ ]
126	180	Submission of Information Disclosure Statement	[ ]
581	40	Recording each patent assignment per property (times number of properties)	[ ]
146	710	Filing a submission after final rejection (37 CFR .129(a))	[ ]
149	710	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
179	710	Request for Continued Examination (RCE)	[ ]
169	900	Request for expedited examination of a design application	[ ]
195	300	Publication fee for early, voluntary, or normal publication	[ ]
196	300	Publication fee for republication	[ ]
089	200	Filing application for patent term adjustment	[ ]
090	200	Request for reinstatement of term reduced	[ ]
Other fee (specify)			[ ]

**FEE CALCULATION**

**1. FILING FEE**

Fee Code	Fee \$	Fee Description	Fee Paid
101	710	Utility filing fee	[710.00]
106	320	Design Filing Fee	[ ]
107	490	Plant Filing Fee	[ ]
108	710	Reissue Filing Fee	[ ]
114	150	Provisional Filing Fee	[ ]
<b>SUBTOTAL</b>			<b>\$710.00</b>

**2. CLAIMS**

	Extra Claims	Fee	Fee Paid
Total Claims [ ] - 20** = [ ] x		\$18 =	[ ]
Independent Claims [ 7 ] - 3** = [ 4 ] x		80 =	[320.00]
Multiple Dependent Claims +		270 =	[270.00]
<b>SUBTOTAL</b>			<b>\$590.00</b>

\*\*or number previously paid, if greater;

\* Reduced by Basic Filing Fee Paid **SUBTOTAL \$240.00**

SUBMITTED BY		Complete (if applicable)	
NAME AND REG. NUMBER	Barbara G. Ernst, Reg. No. 30,377		
SIGNATURE	Barbara G. Ernst	DATE	Aug. 29, 2001
		DEPOSIT ACCOUNT USER ID	